

**Objetivo:**

O Curso "Instituições, Organizações e Estratégias" tem como objetivo o estudo das instituições e das organizações, com ênfase no Estado, organizações públicas, mercados e firmas. No caso das organizações, o interesse se desdobra na sua dimensão institucional, por um lado, e estratégica, por outro. A disciplina inspira-se na tradição institucionalista, na visão baseada em recursos, e na perspectiva evolucionária.

Na versão do curso de 2021, os marcos conceituais da disciplina poderão ser aplicados em estudos de caso. Temas relacionados com o desmonte da política pública, a resistência burocrática, a construção de novas capacidades do Estado, e as visões de futuro serão privilegiados.

Busca-se não apenas o entendimento específico de cada tema, mas principalmente, das relações entre eles, e para tal vale-se da exploração de áreas do conhecimento situadas na interface entre a Economia, a Sociologia e a Administração (Pública e Privada).

**Programa:**

**1.** Instituições e suas múltiplas dimensões: regras do jogo/restrições impostas às sociedades; formas de agir e pensar; "alavancas" aproveitadas por indivíduos e organizações; e, instituições histórica e culturalmente enraizadas em uma dada sociedade. A tradição institucionalista: velho institucionalismo), neo-institucionalista e as abordagens das Ciências Sociais; a interpretação das correntes neo-institucionalistas vis a vis a dos velhos institucionalistas. Regularidade e mudança institucional como campo de conhecimento; os conceitos de isomorfismo, campo e mudança organizacional/institucional; a perspectiva da mudança institucional de Nelson e Sampat; outras visões de mudança institucional.

**2.** Organizações e as diferentes visões sobre o tema: interesses/poder/hierarquia nas organizações; informação e conhecimento. A combinação de uma visão institucionalista com uma perspectiva evolucionária na análise das organizações econômicas. Visão Baseada em Recursos para o estudo das empresas. Conhecimento, capacitações dinâmicas, competitividade e inovação, governança do conhecimento como dimensão constitutiva das organizações. Capacitações e o posicionamento competitivo das empresas. Papel do conhecimento e da inovação nas estratégias e na mudança estratégica. Capacitações dinâmicas em empresas e organizações públicas. A perspectiva orientada por missão (mission oriented).

**3.** Capacidades Estatais, desmonte de políticas, resistência burocrática, e novas capacitações no mundo pós-pandemia. Visões de futuro

**Instituições: Aulas 1, 2 e 3**

**Bibliografia:**

**Aula 1:**

CHANG, H-J. and EVANS, P. – The Role of Institutions in Economic Change, in Dymski, G. and De Paula, S. - Reimagining Growth, Zed Books,

COMMONS, J. R. – "Institutional Economics", American Economic Review, vol. 21 (1931), pgs. 648-657.

HODGSON, G. – Institutions and Economic Development: Constraining, Enabling and Reconstituting, in Dymski, G. and De Paula, S. - Reimagining Growth, Zed Books.

**Sugerida:**

HODGSON, G. – The Hidden Persuaders (formato eletrônico)

NORTH, D. – Institutions, Journal of Economic Perspectives, vol. 5, n. 1, (Winter 1991), pages 97-112.

### **Aula 2:**

NELSON, R. and SAMPAT, B. – Making Sense of Institutions as a Factor Shaping Economic Performance.

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HODGSON, G. - The Mystery of the Routine. The Darwinian Destiny on An Evolutionary Theory of Change. Revue Économique, vol. 54, n. 2, mars 2003, pages 355 – 384

HODGSON, G. – Evolutionary Theorizing Beyond Lamarkism: a Reply to Richard Nelson, J. Evol. Econ (2007) 17:353-259.

### **Sugerida:**

HODGSON, G. – Introduction - Cambridge Journal of Economics – Special Issue on Veblenian Evolutionary Economics, Vol. 22 Number 4 July 1998

VEBLEN, Thorstein – Why is economics not an evolutionary science? In Cambridge Journal of Economics, 1998, 22, 403-414.

HODGSON, Geoffrey – On the Evolution of Thorstein Veblen’s evolutionary economics. In Cambridge Journal of Economics, 1998, 22, 415-431.

### **Aula 3:**

AIGIER e RODRIK, Rebirth of Industrial Policy

BOSCHI, R.; GAITÁN, F. A Recuperação do Papel do Estado no Capitalismo Globalizado,

DEAKIN, G.; HOGSON, G.; HUANDG e PISTOR. Legal Institutionalism: Capitalism and the Constitutive role of Law, , 2015. University of Cambridge.

Evans, P. - Constructing the 21<sup>st</sup> Century Developmental State: Potentialities and Pitfalls

EVANS, P – In Search of The 21st Century Developmental State

EVANS, P. Unpacking States in the Developing World: Capacity, Performance, and Politics

GOMIDE, A. de Á; PIRES, R. R. C. *Capacidades estatais e democracia: a abordagem dos arranjos institucionais para análise de políticas públicas*. 2014.

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THERET, B. - As Instituições entre as estruturas e as Ações

### **Organizações: Aula 1 e 2**

#### **Aula 1:**

SIMON, H. and CYBERT, Organizations Revisited, Industrial and Corporate Change, Vol: 3, N. 2, pages 299 -316, 1993.

HODGSON, Geoffrey – Economics and Institutions. Polity Press, 1988, Caps. 8 Markets as Institutions (pags. 172 a 193), Cap. 9 Firms and Markets (pags. 195 a 216).

CORIAT, B. And WEINSTEIN, O. - Organizations, Firms and Institutions in the Generation of Innovation, Research Policy 31, (2002), 273-290.

CORIAT, B. and WEINSTEIN, O. - Science-Based Innovation Regimes and Institutional Arrangements: From Science-Based “1” to Science-Based “2” Regimes. Towards a New Science-Based Regime? Industrial and Innovation.

CORIAT, B. (2011). From Natural-Resource Commons to Knowledge Commons – Common Traits and Differences. Laboratory Of Economics and Management of The Sant’anna School Of Advanced Studies (LEM Working Paper Series). <http://www.lem.sssup.it>.

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#### SUGERIDA:

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#### **Aula 2:**

FOSS, N. – Resources, Firms and Strategies, Oxford, 1997.

Capítulo 2 – Leadership in Administration: A Sociological Interpretation, Philip Selznick;

Capítulo 3 – The Theory of the Growth of the Firm, Edith Penrose;

Capítulo 15 – The Cornerstones of Competitive Advantage, Margaret A. Peteraf;

Capítulo 17 – The Core Competence of the Corporation - Prahalad and Hamel.

FOOS, N. – The Theory of the Firm. An Introduction to Themes and Contributions.

FOOS, N. – The Resource Based Perspective: An Assessment and Diagnosis of Problems

DAVID J. TEECE - Explicating Dynamic Capabilities: The Nature and Microfoundations of (Sustainable) Enterprise Performance.

TEECE, D; PISANO, G. -The Dynamic Capabilities of Firms. Chapter 42

TEECE, D. - Capturing Value from Knowledge Assets

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Governance: Reasserting the Public Interest (The Anthem Other Canon Series). 1. ed.

London: Anthem Press, 2012. v. 1. 300p . Introduction.

#### **Sugerida:**

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NELSON, R.R. and S.G. Winter (1982). An Evolutionary Theory of Economic Change.

LOCKETT, A. Edith Penrose's Legacy to the Resource-Based View

Aulas 3 e 4 (Opcionais)

### **Capacidade estatal**

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IPEA Funcionalismo público no Brasil: *Análise dos dados nas últimas três décadas*

PERISSINOTTO, R, NUNES, W. Capacidade estatal: discussão conceitual e proposta de mensuração

PERISSINOTTO, R, NUNES, W. Capacidade estatal: uma discussão conceitual

PIRES, R. R. C., & GOMIDE, A. D. Á. (2016). Governança e capacidades estatais: uma análise comparativa de programas federais. *Revista de sociologia e política*, 24(58), 121-143.

### **A variável macrofinanceira**

BURLAMAQUI, L; TORRES FILHO, E: 2020. "The Corona Crisis: Mapping and Managing the Financial Turmoil -A Minskyian approach". *Levy Economics Institute Working Paper* n. 938.

### **Desmonte de políticas e resistência burocrática**

HANK C.; JENKINS-SMITH, D; NOHRSTEDT, C. M.; CHRISTOPHER, M. W;

INGOLD, K. The Advocacy Coalition Framework: An Overview of the Research Program

MORAIS, M. Once Upon a Time, a Human Rights Ally: The State and its Bureaucracy in Right-Wing Populist Brazil

MORAIS, M. Desmonte de políticas públicas, burocracia e valores: como reagem as burocracias federais na educação e em direitos humanos?

WEIBLE, C. M; INGOLD, K; NORRSTEDT, D; HENRY, A. D.; JENKINS-SMITH, H.C. Sharpening Advocacy Coalitions

### **Capacitações para políticas orientadas por missões**

KATTEL, R, Dynamic capabilities of the public sector:towards a new synthesis

KATTEL, R E MAZZUCATO, M:2018. "Mission-oriented innovation policy and dynamic capabilities in the public sector" in *Industrial and corporate change* Vol. 27, No. 5, 787–801

MAZZUCATO, M AND KATTEL, R: 2020. "COVID-19 and public-sector capacity" in *Oxford Review of Economic Policies*.

MAZZUCATO, M; KOBO, M; KATTEL, R Building state capacities and dynamic

capabilities to drive social and economic development: The case of South Africa

## EIXOS TEMÁTICOS

### Meio ambiente e política ambiental

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TIETZE, F., VIMALNATH, P. ARISTODEMOU, L., MOLLOY, J. (April 5, 2020). Crisis-Critical Intellectual Property: Findings from the COVID-19. Pandemic Centre for Technology Management. *Working Paper Series*, n. 2. DOI: <http://dx.doi.org/10.2139/ssrn.3569282>

VIG, N.; KRAFT, M. *Environmental policy - new directions for the Twenty-First Century*. Sage Publications: California, 2019, 10 th. ed.

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AMITRANO, C. R. Complexidade econômica, comércio internacional e capacidades estatais: tendências e implicações para as políticas de desenvolvimento

BURLAMAQUI, L. A Crise do COVID-19 e as perspectivas para a economia e a sociedade brasileiras: A primazia da Política e o poder da moeda soberana

### Desigualdades, emprego, capacidades estatais e os desafios da inclusão tecnológica

GAITÁN, F. Atores predominantes, coalizões ideacionais e alternativas de proteção social na Argentina e no Brasil

LANZARA, A. P.. Mudanças tecnológicas, exclusão digital e os desafios da proteção social. CEE- Centro de Estudos Estratégicos da Fiocruz, 06/07/2020. Disponível em: <https://cee.fiocruz.br/?q=Mudancas-tecnologicas-exclusao-digital-e-os-desafios-da-protecao-social>.

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### Produção e inovação

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### Infraestrutura

SANTANA, C.H.V. Financiamento do Desenvolvimento e Poder Infraestrutural do Estado brasileiro

### Geopolítica e inserção internacional

MILANOVIC, B. “The Clash of Capitalisms. The Real Fight for the Global Economy’s Future”. *Foreign Affairs*, 99, (1), 2020, pp. 10-21.

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### **Organizações, Competitividade e Inovação**

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